

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 50705/DMC/E267  
Inventor(s) : Martin L. Baum, et al.  
Title : FORECASTING SYSTEM AND METHOD  
Express Mail Label No. : EV 327292040 US

19972 U.S. PTO  
10/618106  
07/10/03

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 10, 2003

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit: \_\_\_\_\_

☒ This application claims the benefit of Provisional Application No. 60/395,545 pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4).

3. **APPLICATION COMPRISED OF**

**Specification**

18 Specification, claims and Abstract (total pages)

**Drawings**

9 Sheets of formal drawing(s) (FIGS. 1 to 9)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed

☒ Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)  
\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR §1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under §1.27(a) are a SMALL ENTITY



23363

PATENT TRADEMARK OFFICE

---

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))  
Docket No.: 50705/DMC/E267

---

**7. ALSO ENCLOSED ARE**

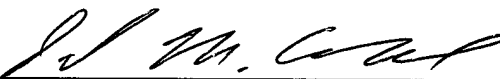
- \_\_\_\_\_ Request and Certificate under 35 U.S.C. §122(b)(2)(B)(i) **Request for Non-Publication)**
- \_\_\_\_\_ Preliminary Amendment
- \_\_\_\_\_ Includes "Cross-Reference to Related Applications"
- \_\_\_\_\_ A Petition for Extension of Time for the parent application and the required fee are enclosed
- \_\_\_\_\_ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- \_\_\_\_\_ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- \_\_\_\_\_ Information Disclosure Statement (IDS)/PTO/SB/08A/B
- \_\_\_\_\_ Copies of IDS Citations
- X   Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- X   Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- \_\_\_\_\_ Other:

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: July 10, 2003**

Docket No. : 50705/DMC/E267  
Inventor(s) : Martin L. Baum, et al.  
Title : FORECASTING SYSTEM AND METHOD

Duplicate \_\_\_\_

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	13 - 20	= 0	x \$9.00	x \$18.00	
INDEPENDENT CLAIMS	4- 3	= 1	x \$42.00	x \$84.00	84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$375.00	\$750.00	750.00
TOTAL FILING FEE					\$ 834.00
List Independent Claims: 1, 6, 9, 13					

**METHOD OF PAYMENT**

X  No filing fee enclosed.

X  No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900

DMC/rmw

RMW IRV1067412.1-\*-07/10/03 6:28 PM